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## Calculation of the electron-beam-induced current (EBIC) at a Schottky contact and comparison with Au/n-Ge diodes

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#### **ABSTRACT**

The electron-beam-induced current (EBIC) collection efficiency  $\eta$  of a Schottky contact perpendicular to the electron beam of a scanning electron microscope is calculated. The continuity equation is solved for a non-uniform generation function assuming a linear variation of the electric field within the depletion zone. The recombination of the carriers at the metal-semiconductor interface is considered. Majority carrier injection from the semiconductor into the metal is found to be nonnegligible for low doping level and low beam energy. It is demonstrated that the assumption of 100% collection efficiency for the minority carriers generated within the depletion zone, and the related boundary condition, lead to an overestimation of the collected EBIC intensity, particularly for short minority diffusion lengths. The present model allows a more satisfactory analysis of the experimental data obtained on Au/n-Ge Schottky contacts.

#### § 1. Introduction

The electron-beam-induced current (EBIC) technique has been extensively used to characterize the electrical properties of semiconductors (Leamy 1982, Holt, Muir, Grant and Boswarva 1974, Sieber 1987). Besides defect imaging, the technique allows the determination of some parameters which describe the recombination process of the minority carriers, such as the diffusion length  $L_p$ , the lifetime  $\tau_p$  and the recombination velocity  $S_p$  at surfaces or interfaces. Wu and Wittry (1978) proposed a method to deduce  $L_p$  from collection efficiency measurements on a Schottky contact parallel to the bombarded surface. They derived an analytical expression for the EBIC intensity and distinguished two main regions of the device: the metal where the incident beam loses a fraction of energy without producing any electron-hole pairs, the depletion zone (DZ) where all the generated minority carriers are collected with 100% efficiency, and the neutral zone where the carriers diffuse and are collected when they reach the limit of the DZ. The authors used the model successfully to fit their EBIC data obtained on Au-GaAs and Au-Si Schottky contacts. Less good agreement was pointed out by Wu and Wittry (1978) for lightly doped Si samples  $(N_p = 10^{14} \, \mathrm{cm}^{-3})$ .

Otherwise it is well established that, for many materials, the formation of Schottky contacts can be related to the existence of interface states (Rhoderick 1978, Tersoff 1984). The recombination of carriers via such states has already been evoked to explain

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the low values of the collection efficiency of Au-InP Schottky contacts (Peransin, Da Silva and Bresse 1986), while in the Wu and Wittry model such recombination is not considered. Moreover the assumption of a 100% collection efficiency for the carriers which are generated within the DZ seems more valid near the interface, where the electric field intensity is a maximum, than at the limit of the neutral region where the electric field is null. Hence the boundary condition at the latter limit as it is written in the Wu and Wittry model, that is, the excess carrier  $\Delta p$  is null, seems rather artificial. In this paper, the continuity equation is solved assuming drift and diffusion of both minority and majority carriers in the DZ and using specific boundary conditions. The model is used to analyse experimental data obtained on Au-n-Ge contacts.

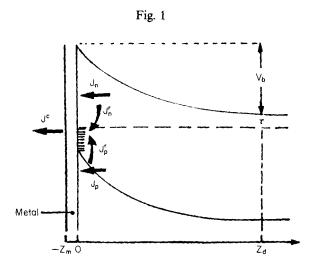
#### §2. THEORY

#### 2.1. Preliminaries

Figure 1 illustrates the device geometry. The metal layer of  $z_m$  thickness constitutes a region where a fraction of the incident beam energy is lost without producing any electron-hole pairs. In the absence of any defect, the collection probability of a carrier depends only on the depth z at which it is produced. Consequently, the collected EBIC intensity is independent of the lateral distribution of the pair generation function. Hence, for simplicity, we consider a one-dimensional problem. The continuity equation can be written in the following form:

$$dJ/dz = g(z) - r(z), \tag{1}$$

where J is the carrier flux, g(z) and r(z) are the generation and recombination rates  $(cm^{-3}s^{-1})$  respectively. Following Wu and Wittry (1978), we use for the pair



Schematic representation of the energy band diagram and the carrier fluxes at a metal/n-semiconductor Schottky contact.

generation function the modified Gaussian approximation to the depth distribution of the energy dissipation function  $\phi(u)$  given by

$$\phi(u) = A \exp\left[-\left(\frac{u - u_0}{u}\right)^2\right] - B \exp\left(b\frac{u}{u_0}\right),\tag{2}$$

where  $u = \rho z/R$ ,  $\rho$  is the density of the semiconductor in g cm<sup>-3</sup>, z the depth (in cm) and R the electron penetration range (in g cm<sup>-2</sup>).

R is given by Wittry and Kyser (1967) as

$$R (g \text{ cm}^{-2}) = 2.56 \times 10^{-3} \left(\frac{E_0}{30}\right)^{1.7},$$

where  $E_0$  is the beam energy (in keV).

The following values of the parameters in eqn. (2) were given by Wu and Wittry (1978) for GaAs as  $u_0 = 0.125$ ,  $\Delta u = 0.350$ , b = 4.0 and B/A = 0.4. Since Ge and GaAs have the same atomic number (Z = 32), these values will be used below for computations of the collection efficiency of Au–Ge Schottky contacts.

The constants A and B can be determined using the normalization condition

$$\int_{-u_{\rm m}}^{\infty} \phi(u+u_{\rm m}) \, \mathrm{d}u = G_0 \tag{3}$$

(the coordinate origin is at the metal-semiconductor interface). Here  $u_{\rm m} = \rho_{\rm m} z_{\rm m}/R$ ,  $\rho_{\rm m}$  being the metal density.  $G_0$  is the total generation rate (in cm<sup>2</sup> s<sup>-1</sup>) and can be expressed as

$$G_0 = \frac{J_0}{e} \frac{E_0}{\varepsilon_{a-b}} (1 - f), \tag{4}$$

where  $J_0$  and  $E_0$  are the current and energy of the primary beam respectively,  $\varepsilon_{e-h}$  the electron-hole pair creation energy, f the fraction of the back-scattered energy, and e the charge on the electron. The generation rate g(z) can be related to  $\phi(u)$  by the following equation:

$$g(u) = (\rho/R)\phi(u + u_{\rm m}). \tag{5}$$

#### 2.2. Carrier transport in the depletion zone

We consider the case of an n-doped semiconductor. The continuity equation (eqn. (1)) can be written explicitly for the minority (holes) and majority (electrons) carriers, by first taking into account both diffusion and drift components of the fluxes:

$$J_{p} = -D_{p}(\Delta N_{p}/\mathrm{d}z) + \Delta N_{p} \mu_{p} E(z), \tag{6}$$

$$J_{n} = -D_{n}(d\Delta N_{n}/dz) - \Delta N_{n} \mu_{n} E(z), \tag{7}$$

where D and  $\mu$  are the diffusion coefficient and mobility of the carriers,  $\Delta N_p$  and  $\Delta N_n$  are the (positive) hole and electron excess densities respectively and E the electric field. E(z) is obtained by solving the Gaussian equation

$$\frac{\mathrm{d}E(z)}{\mathrm{d}z} = \frac{N_{\mathrm{d}}e}{\varepsilon},\tag{8}$$

where  $N_d$  is the concentration of the ionized donors and  $\varepsilon$  the dielectric constant of the

semiconductor. Assuming a fully depleted zone, E(z) is given by:

$$E(z) = (N_{d}e/\varepsilon)(z - z_{d}), \tag{9}$$

where  $z_d$  is the depletion depth.

Using eqns. (6) and (7), eqn. (1) can be written explicitly as

$$-D_{p}\frac{d^{2}(\Delta N_{p})}{dz^{2}} + \mu_{p}\frac{N_{d}e}{\varepsilon}(z-z_{d})\frac{d(\Delta N_{p})}{dz} + \mu_{p}\frac{N_{d}e}{\varepsilon}\Delta N_{p} = g(z) - r_{p},$$

$$-D_{n}\frac{d^{2}(\Delta N_{n})}{dz^{2}} - \mu_{n}\frac{N_{d}e}{\varepsilon}(z-z_{d})\frac{d(\Delta N_{n})}{dz} - \mu_{n}\frac{N_{d}e}{\varepsilon}\Delta N_{n} = g(z) - r_{n}.$$
(10)

The recombination rates  $r_p$  and  $r_n$  can be related to the lifetimes  $\tau_p$  and  $\tau_n$  using the Hall-Schockley-Read theory:

$$r_{\rm n} = \Delta N_{\rm n}/\tau_{\rm n}, \qquad r_{\rm n} = \Delta N_{\rm n}/\tau_{\rm n}.$$
 (11)

The values of  $\tau_p$  and  $\tau_n$  are z-dependent owing to the energy band curvature within the depletion zone. For simplicity, we consider in the present calculations that there is no recombination in the DZ, that is,  $r_p = r_n = 0$ . Equations (10) can then be written in the form

$$\frac{\mathrm{d}^{2}(\Delta N_{\mathrm{p}})}{\mathrm{d}z^{2}} + 2\alpha(z - z_{\mathrm{d}}) \frac{\mathrm{d}(\Delta N_{\mathrm{p}})}{\mathrm{d}z} + 2\alpha \Delta N_{\mathrm{p}} = \frac{g(z)}{D_{\mathrm{p}}},$$

$$\frac{\mathrm{d}^{2}(\Delta N_{\mathrm{n}})}{\mathrm{d}z^{2}} - 2\alpha(z - z_{\mathrm{d}}) \frac{\mathrm{d}(\Delta N_{\mathrm{n}})}{\mathrm{d}z} - 2\alpha \Delta N_{\mathrm{n}} = \frac{g(z)}{D_{\mathrm{n}}},$$
(12)

where  $\alpha = N_D e^2/(2\varepsilon kT)$ . (The Einstein equation  $D/\mu = kT/e$  has been used to derive eqns. (12).)

The solutions of eqns. (12) are given by

$$\Delta N_{p} = \exp\left[\alpha(z - z_{d})^{2}\right] \left\{ \theta_{p} + \xi_{p} \operatorname{erf}\left[\alpha^{1/2}(z - z_{d})\right] + \int_{0}^{z} dz' \frac{g(z')}{2D_{p}} \left(\frac{\pi}{\alpha}\right)^{1/2} \operatorname{erf}\left[\alpha^{1/2}(z' - z_{d})\right] - \operatorname{erf}\left[\alpha^{1/2}(z - z_{d})\right] \int_{0}^{z} dz' \frac{g(z')}{2D_{p}} \left(\frac{\pi}{\alpha}\right)^{1/2} \right\},$$

$$\Delta N_{p} = \exp\left[-\alpha(z - z_{d})^{2}\right] \left\{ \theta_{p} + \xi_{p} \operatorname{Er}\left[\alpha^{1/2}(z - z_{d})\right] \right\}$$
(13)

$$\Delta N_{n} = \exp\left[-\alpha(z-z_{d})^{2}\right] \left\{ \theta_{n} + \xi_{n} F\left[\alpha^{1/2}(z-z_{d})\right] + \int_{0}^{z} dz' \frac{\alpha^{-1/2}}{D_{n}} g(z') F\left[\alpha^{1/2}(z'-z_{d})\right] - F\left[\alpha^{1/2}(z-z_{d})\right] \int_{0}^{z} dz' \alpha^{-1/2} \frac{g(z')}{D_{n}} \right\}$$
(14)

with  $F(x) = \int_0^x \exp(t^2) dt$ . The constants  $\theta_p$ ,  $\xi_p$ ,  $\theta_n$  and  $\xi_n$  can be determined using the specific boundary conditions discussed below.

#### 2.3. Carrier transport in the neutral zone

The carrier fluxes are reduced to their diffusion components. Moreover, at low injection level ( $\Delta N_{\rm n} \ll N_{\rm n0}$ ,  $N_{\rm n0}$  being the equilibrium density of electrons), the lifetime of a carrier pair is determined by the minority carrier recombination because the deviation from the equilibrium density  $N_{\rm po}$  is more important for these carriers ( $\Delta N_{\rm p} \gg N_{\rm po}$ ). Consequently, the excess holes diffuse and recombine at any point of the semiconductor and the electrons follow to maintain local charge neutrality, thus  $\Delta N_{\rm p} = \Delta N_{\rm n}$  at any point of the neutral region. Hence we need only consider the continuity equation for holes

$$D_{p} \frac{d^{2}(\Delta N_{p})}{dz^{2}} = g(z) - \frac{\Delta N_{p}}{\tau_{p}}.$$
 (15)

The general solution of eqn. (15) is

$$\Delta N_{p}(z) = B_{p} \exp\left[\frac{-(z-z_{d})}{L_{p}}\right] + \frac{L_{p}}{2D_{p}} \int_{z_{d}}^{\infty} g(z') \left\{ \exp\left(\frac{-|z-z'|}{L_{p}}\right) - \exp\left[\frac{-(z+z'-2z_{d})}{L_{p}}\right] \right\} dz'.$$
 (16)

The constant  $B_p$  is the hole concentration at the limit of the depletion zone, namely  $\Delta N_p(z=z_d)=B_p$  and the integral term in eqn. (16) corresponds to the solution given by Wu and Wittry (1978) who used the specific boundary condition  $\Delta N_p(z_d)=0$ .

The actual minority carrier flux at the limit  $z = z_d$  can be derived from eqn. (16)

$$J_{p}(z_{d}) = D_{p}(B_{p}/L_{p}) + J_{b}^{0},$$
 (17)

where  $J_b^0$  corresponds to the carrier flux at  $z = z_d$  calculated by Wu and Wittry.  $J_b^0$  is given in Appendix A.

#### 2.4. Boundary conditions

There are five constants which have to be determined:  $\theta_p$ ,  $\xi_p$ ,  $\theta_n$ ,  $\xi_n$  and  $B_p$ , and hence five boundary equations have to be written.

Assuming the continuity of the excess carrier concentrations and fluxes at the limit of the depletion zone, we obtain three equations:

$$\Delta N_{\rm p}(z_{\rm d}^+) = \Delta N_{\rm p}(z_{\rm d}^-),\tag{18}$$

$$\Delta N_{\rm p}(z_{\rm d}) = \Delta N_{\rm p}(z_{\rm d}) \tag{19}$$

and  $J_p(z_d^+) = J_p(z_d^-)$  which implies that

$$\frac{\mathrm{d}(\Delta N_{\mathrm{p}})}{\mathrm{d}z}\bigg|_{z=z_{\mathrm{d}}^{+}} = \frac{\mathrm{d}(\Delta N_{\mathrm{p}})}{\mathrm{d}z}\bigg|_{z=z_{\mathrm{d}}^{-}}.$$
 (20)

At the metal-semiconductor interface, we assume that a fraction  $J_p^r$  of the minority carrier flux recombines. The recombination velocity of holes  $S_p$  is related to  $J_p^r$  by the equation:

$$J_{\mathbf{p}}^{\mathbf{r}} = S_{\mathbf{p}} \Delta N_{\mathbf{p}}(0). \tag{21}$$

At steady state, the occupation ratio of the interface states is kept constant by the recombination of a fraction  $J_n^r$  of the majority carriers equal to  $J_p^r$ . A recombination velocity  $S_n$  can be defined by the relation

$$J_{\rm n}^{\rm r} = S_{\rm n} \, \Delta N_{\rm n}(0) \tag{22}$$

with

$$S_{\mathbf{n}} \Delta N_{\mathbf{n}}(0) = S_{\mathbf{p}} \Delta N_{\mathbf{p}}(0). \tag{23}$$

The continuity of the carrier fluxes at the interface leads to the two following equations (see fig. 1):

$$J_{\rm p}^{\rm c} = S_{\rm p} \Delta N_{\rm p}(0) + J_{\rm p}(0),$$
 (24)

$$J_{\rm n}^{\rm c} = S_{\rm n} \Delta N_{\rm n}(0) + J_{\rm n}(0),$$
 (25)

where  $J_p^c$  and  $J_n^c$  are the collected fluxes of holes and electrons respectively. Similar boundary conditions have been used by Lavagna, Pique and Marfaing (1977) to analyse the quantum photoelectric yield in Schottky diodes. Following these authors, we write the fluxes  $J_p^c$  and  $J_n^c$  in the form:

$$J_{\rm p}^{\rm c} = -V_{\rm p} \Delta N_{\rm p}(0), \tag{26}$$

$$J_{\rm n}^{\rm c} = -V_{\rm n} \Delta N_{\rm n}(0), \tag{27}$$

where  $V_p$  and  $V_n$  are the collection velocities and can be expressed, assuming a thermionic emission mechanism (Crowell and Sze 1966), as

$$V_{\rm p,n} = A_{\rm p,n}^* T^2 / (eN_{\rm v,c}) \tag{28}$$

where  $A_{p,n}^*$  are the effective Richardson constants for holes and electrons,  $N_v$  and  $N_c$  the valence- and conduction-band state densities respectively and T is the temperature.

We report in the table the values obtained using the data given by Sze (1981).

Substituting eqns. (26) and (27) in eqns. (24) and (25) and using eqns. (18), (19) and (20), the constants  $\theta_p$ ,  $\xi_p$ ,  $B_p$ ,  $\theta_n$  and  $\xi_n$  can be determined. The expressions obtained are given in the Appendix. Since we have assumed that the majority carrier recombination at the interface is 'induced' by the minority carrier recombination, only the parameter  $S_p$  will be considered as a characteristic one, while the recombination velocity of electrons  $S_n$  is determined using eqn. (23).

#### Parameter values for Ge.

$D_{\rm p} ({\rm cm}^2 {\rm s}^{-1})$ $D_{\rm n} ({\rm cm}^2 {\rm s}^{-1})$	50 97	These values correspond to the intrinsic mobilities of holes and electrons ( $\mu_p = 1900 \text{ cm}^2 \text{ s}^{-1} \text{ V}^{-1}$ , $\mu_n = 3900 \text{ cm}^{-2} \text{ V}^{-1}$ ) given by Sze (1981).
$V_{\rm p}~({\rm cm~s^{-1}}) \ V_{\rm n}~({\rm cm~s^{-1}}) \ arepsilon~({\rm F~cm^{-1}})$	$3.8 \times 10^{6}$ $7 \times 10^{6}$ $1.4 \times 10^{-12}$	

#### 2.5. Collection efficiency

Using eqns. (26) and (27) the total collected current density is

$$|i_{\rm c}| = eV_{\rm p} \Delta N_{\rm p}(0) - eV_{\rm p} \Delta N_{\rm p}(0).$$
 (29)

The collection efficiency  $\eta$  can be expressed as the ratio of collected current to the total charge generation (eqn. (4))

$$\eta = |i_c|/(eG_0). \tag{30}$$

In the following section, we discuss the beam energy dependence of  $\eta$  for Au/n-Ge contacts as predicted by our model and the Wu and Wittry calculations; thus an analysis of some experimental data is considered.

#### § 3. DISCUSSION OF THE MODEL

In addition to the parameters reported in the table and those which characterize the generation function g(z), we have to estimate the pair creation energy  $\varepsilon_{e-h}$  and the energy loss by the back-scattering process taken into account by the factor f in eqn. (4).

The experimental data for  $\varepsilon_{\rm e-h}$  usually show a large scatter—from 3.44 to 3.79 eV for GaAs (a list of the experimental values and the corresponding references has been given by Wu and Wittry (1978). Fewer experimental data are available for Ge. Klein (1967) discussed what he called 'the electron-hole pair creation energy puzzle in germanium' and concluded that the 'true' room-temperature mean energy  $\varepsilon_{\rm e-h}$  is close to 2.80 eV. Such a value has been confirmed by Zulliger (1971) and hence was used for our computations. The factor f can be estimated using the expression proposed by Sternglass (1954) for the mean value  $E_{\rm r}$  of the back-scattered energy:

$$E_{\rm r} = (0.45 + 2 \times 10^{-3} Z) E_0 \tag{31}$$

where  $E_0$  is the beam energy and Z the semiconductor atomic number.

The factor f is given by the relation

$$f = rE_r/E_0, (32)$$

where r is the back-scattering coefficient. The beam energy dependence of r for Ge is small (r=0.362 at  $E_0=5$  keV and 0.334 at  $E_0=30$  keV (Bishop 1966)). An estimation of r can be made by measuring the ratio  $s=(I_0-I_{abs})/I_0$ , where  $I_0$  is the beam current and  $I_{abs}$  the absorbed current. Figure 2 gives a typical beam energy dependence of s obtained on our Ge samples. At high beam energy, the secondary electron emission is negligible and the factor s becomes constant and can be equated to the back-scattering coefficient r. For more details see the discussion given by Paz and Borrego (1987). A constant average value close to 0.32 was used for our computations.

The influence of each parameter that has an important physical meaning, such as the diffusion length  $L_{\rm p}$ , the interface recombination velocity  $S_{\rm p}$ , the doping level  $N_{\rm d}$  and the corresponding depletion width  $z_{\rm d}$ , has been analysed.

In fig. 3(a), we show the  $\eta$ -beam-energy curves obtained for different diffusion lengths  $L_p$  of holes assuming no recombination at the interface  $(S_p = 0)$  and no transfer of electrons through the Schottky barrier  $(V_n = 0)$ . The doping level  $N_d$  is close to  $2 \times 10^{16}$  cm<sup>-3</sup> and the depletion width  $z_d$  has been assumed close to  $0.15 \,\mu m$ .

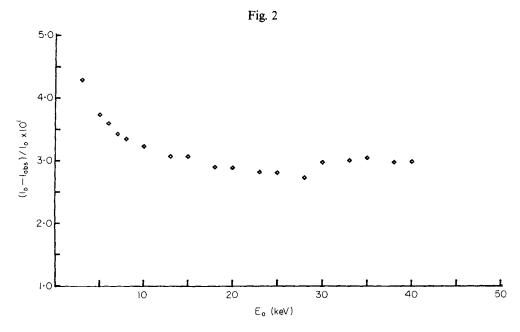
The most conspicuous feature of these curves as compared to those obtained by the Wu and Wittry calculations (dashed lines) is the discrepancy in the  $\eta$  values, which increases when the diffusion length decreases. To explain this result, it should be remembered that in the Wu and Wittry model the collected EBIC intensity  $i_c$  (A cm<sup>-2</sup>) was calculated as the sum of two terms  $i_d^0$  and  $i_b^0$ , which were respectively the contributions of the depletion zone and the neutral region of the semiconductor.  $i_d^0$  was obtained assuming a unit collection probability for the minority carriers which are generated in the DZ, that is

$$i_{\rm d}^0 = -e \int_{\rm DZ} g(z) \, \mathrm{d}z$$

and  $i_b^0$  was expressed as the minority charge flux at the limit of the DZ, namely

$$i_b^0 = -eD_p \frac{\mathrm{d}(\Delta N_p)}{\mathrm{d}z}\bigg|_{z=z_d}$$

 $\Delta N_{\rm p}(z)$  was calculated using the specific boundary condition  $\Delta N_{\rm p}(z=z_{\rm d})=0$ .



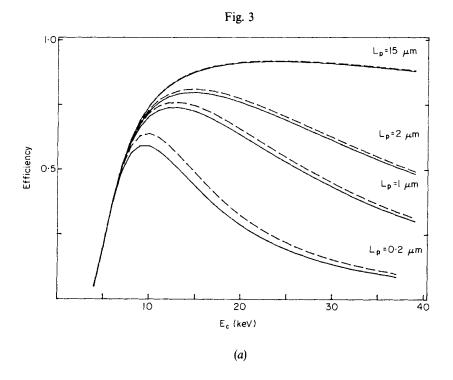
Fraction of the beam intensity lost by the back-scattering and secondary emission processes.  $I_0$  and  $I_{abs}$  are the beam intensity and the absorbed intensity respectively. Such measurements are discussed by Paz and Borrego (1987).

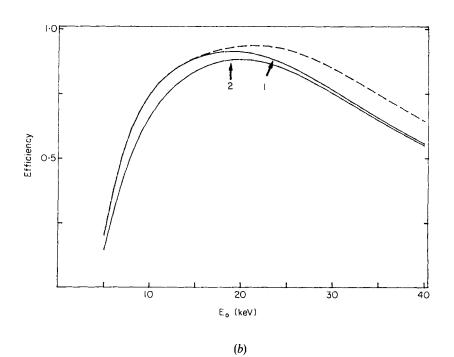
It can be easily seen that the actual charge flux at the limit of the DZ  $i_b$  as deduced from eqn. (17) is

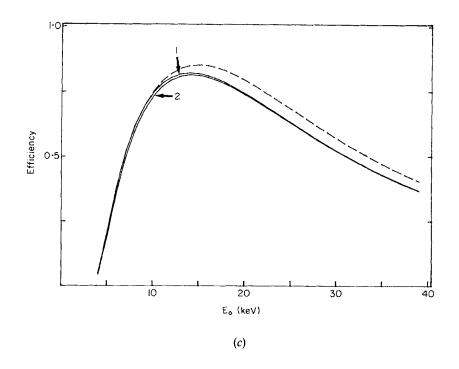
$$i_{\rm b} = eD_{\rm p}(B_{\rm p}/L_{\rm p}) + i_{\rm b}^{0}.$$
 (34)

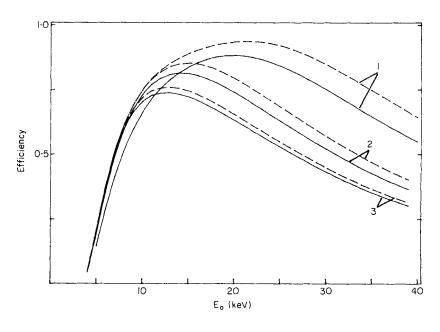
 $i_b$  and  $i_b^0$  are both negative quantities due to the z axis orientation (see fig. 1). It is clear from eqn. (34) that the absolute value of  $i_b$  is lower than that of  $i_b^0$ . Otherwise it has been found that the difference  $i_b - i_b^0 = eD_p(B_p/L_p)$  decreases when the diffusion length increases†. From a physical point of view, the boundary condition  $\Delta N_p(z_d) = 0$  leads to an overestimation of the excess carrier gradient between the neutral region and the DZ and thus to an 'artificial' increase of the diffusion carrier flux at  $z = z_d$ . Figures 3 (b) and (c) compare the  $\eta$ -beam-energy curves obtained for two doping levels  $(N_d = 2 \times 10^{14} \text{ cm}^{-3}, z_d = 1.5 \,\mu\text{m})$  and  $(N_d = 2 \times 10^{15} \text{ cm}^{-3}, z_d = 0.47 \,\mu\text{m})$ , assuming transfer of electrons ( $V_n = 7 \times 10^6 \text{ cm s}^{-1}$ , curve 1) and no transfer ( $V_n = 0$ , curve 2) of electrons through the Schottky barrier into the metal. It appears clearly that this effect is more significant at low doping levels ( $N_d < 10^{15} \text{ cm}^{-3}$ ) and for low beam energy. This can be well understood if we note that the electric field intensity near the interface decreases when  $N_d$  decreases ( $E(z=0) \propto N_d^{1/2}$ ) and hence becomes insufficiently high at low doping level to reduce notably the electrons excess. Consequently the contribution of electrons ( $J_n = V_n \Delta N_n(0)$ ) cannot be neglected. Figure 3 (d) compares the curves

<sup>†</sup> For  $E_0=42$  keV,  $S_p=V_n=0$ ,  $z_m=30$  nm,  $z_d=1$   $\mu m$  and  $N_d=10^{14}$  cm<sup>-3</sup>,  $i_b-i_b^0$  varies by a factor of 20 when  $L_p$  varies from 10 to 1  $\mu m$ .

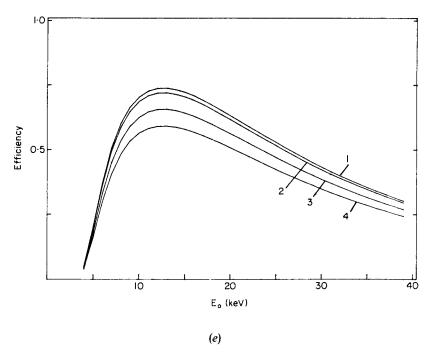








(d)



Curves of  $\eta$  against beam energy at Au–n-Ge Schottky contacts for various parameter values. The solid lines correspond to this model. The dashed lines have been obtained using the Wu and Wittry (1978) model. (a) Influence of the hole diffusion length: Metal thickness  $z_m=25$  nm, depletion zone  $z_d=0.15$   $\mu m$  and doping level  $N_d=2\times 10^{16}$  cm  $^{-3}$ . Solid lines are calculated assuming no carrier recombination at the interface ( $S_p=0$ ). The electron transfer from the semiconductor into the metal has been found negligible. (b) Majority carrier injection effect at low doping level:  $N_d=2\times 10^{14}$  cm  $^{-3}$ ,  $z_m=25$  nm,  $S_p=0$  and  $L_p=1$   $\mu m$ . Curve 1 has been obtained for  $V_n=0$  and curve 2 for  $V_n=7\times 10^6$  cm s  $^{-1}$ . Note that the values of curve 2 are lower, particularly at low beam energies, owing to electron transfer into the metal. (c) Majority carrier injection effect at moderate doping level:  $N_d=2\times 10^{15}$  cm  $^{-3}$ ,  $z_d=0.47$   $\mu m$ ,  $z_m=25$  nm,  $S_p=0$  and  $L_p=1$   $\mu m$ ,  $V_n=0$  (curve 1) and  $V_n=7\times 10^6$  cm s  $^{-1}$  (curve 2). Note that the electron transfer into the metal layer is reduced in comparison with the results of fig. 3 (b). (d) Comparison of the results obtained for various doping levels:  $N_d=2\times 10^{14}$  cm  $^{-3}$ ,  $z_d=1.5$   $\mu m$  (curve 1);  $N_d=2\times 10^{15}$  cm  $^{-3}$ ,  $z_d=0.47$   $\mu m$  (curve 2); and  $N_d=2\times 10^{16}$  cm  $^{-3}$ ,  $z_d=0.15$   $\mu m$  (curve 3). The other parameters were constant at values  $z_m=25$  nm,  $S_p=0$ ,  $V_n=7\times 10^6$  cm s  $^{-1}$  and  $L_p=1$   $\mu m$ . (e) Influence of the interface recombination velocity  $S_p$ :  $S_p=0$  (curve 1),  $10^5$  cm s  $^{-1}$  (curve 2),  $5\times 10^5$  cm s  $^{-1}$  (curve 3) and  $10^6$  cm s  $^{-1}$  (curve 4).  $z_m=25$  nm,  $z_d=0.15$   $\mu m$  and  $L_p=1$   $\mu m$ ,  $N_d=2\times 10^{16}$  cm  $^{-3}$ .

obtained for three doping levels. It should be pointed out that Wu and Wittry (1978) mentioned that some of their experimental data obtained on lightly doped  $Si(N_d \simeq 10^{14} \, {\rm cm}^{-3})$  presented a systematic deviation from the theory. The authors invoked the recombination of carriers within the DZ to explain such a deviation, but it seems likely that the majority carrier injection into the metal occurs in this case, which would explain the disagreement between the Wu and Wittry experiments and calculations observed at low beam energy.

We report in fig. 3 (e) the  $\eta$ -beam-energy curves obtained for different values of the interface recombination velocity  $S_p$ . As expected, the minority carrier loss at the

interface leads to a lowering of the collection efficiency. The effect becomes significant for  $S_p$  values higher than  $10^5$  cm s<sup>-1</sup>.

It appears clearly from the above discussion that the  $\eta$  values are sensitive not only to some physical processes such as the recombination of carriers at the interface and the injection of majority carriers into the metal (for a low doping level and low beam energy), but also to the boundary conditions used. Therefore some caution must be taken in the evaluation of various parameters ( $z_{\rm d}$ ,  $z_{\rm m}$ ,  $L_{\rm p}$  and  $\varepsilon_{\rm e-h}$ ) using a fitting procedure as is usually done in the literature. It seems more convenient, if it is possible, to determine some of these parameters by other well established techniques such as C(V) and I(V) measurements; then reliable values of the diffusion length  $L_{\rm p}$  and the recombination velocity  $S_{\rm p}$  can be obtained from the fit of the  $\eta$ -beam-energy curves.

#### § 4. Comparison with experiments

Au-Ge evaporated contacts have been obtained on phosphorus-doped bicrystals containing a pure symmetric grain-boundary tilted 3° around [011] with a resistivity of  $40 \Omega$ cm and on antimony-doped polycrystals with resistivities of 30 and  $0.4 \Omega$ cm. The experimental details have been reported in a previous paper (Tabet and Monty 1988).

The main characteristics of the collection efficiency—beam energy  $(\eta - E_0)$  curves obtained on the three materials are:

- (1) Nearly constant  $\eta$  values at high beam energies have been observed. Such results indicate that the minority carrier diffusion length is particularly high in the specimens studied.
- (2) Values are lower than those predicted by the Wu and Wittry model and increase more smoothly at low beam energies in our case, particularly in the more resistive samples (40 and  $30\,\Omega$  cm). In the later cases, the collected EBIC intensity has been corrected by a factor F which takes into account the influence of the resistance  $R_s$  of the sample. The short-circuit EBIC intensity  $I_{cc}$  can be related to the collected intensity  $I_c$  by the following expression (Dianteil 1983):

$$I_{cc} = FI_{c}, \tag{35}$$

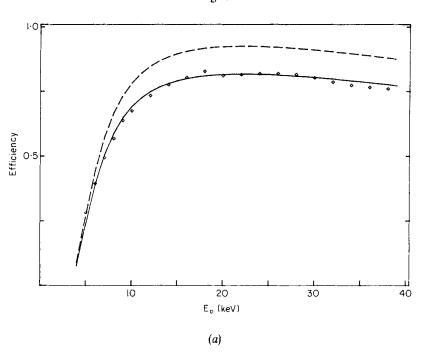
with

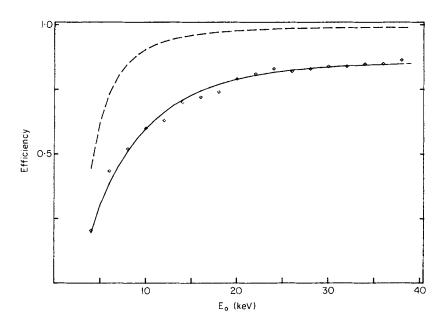
$$F = 1 + \frac{eR_{\rm s}I_{\rm s}}{nkT}. (36)$$

 $I_s$  is the saturation current of the diode and n the ideality factor.  $I_s$  and  $R_s$  are deduced from the I(V) characteristic of the Schottky contact.  $R_s$  is proportional to the sample thickness and the factor F varies from 1.0 to 1.35. In order to reduce the number of the parameters involved in the fitting procedure of our  $\eta - E_0$  experimental curves, Hall measurements have been made to determine the doping level  $N_d$ . The width of the depletion zone has been estimated using the barrier height deduced from the I(V) characteristics ( $\phi_b = 0.54 \, \mathrm{eV}$ ).

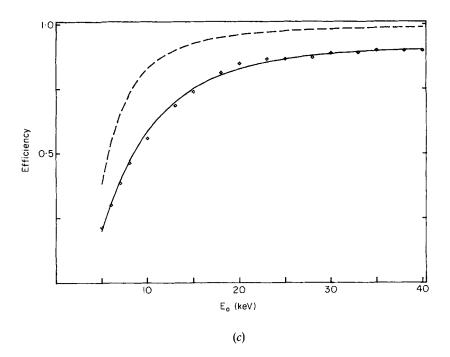
Figures 4(a)–(c) show the  $\eta$ – $E_0$  curves obtained on three of the types of samples studied. It can be seen that good fits to the experimental data have been obtained for high recombination velocities  $(S_p > 5 \times 10^5 \, \mathrm{cm \, s^{-1}})$  at the Au–Ge interface. Moreover, as expected, the diffusion length for the highly doped material  $(N_d = 10^{16} \, \mathrm{cm^{-3}}, L_p = 13 \, \mu \mathrm{m}$ : fig. 4(a)) is much lower than the values obtained for the lightly doped

Fig. 4





(b)



Comparison of theory with the experimental results obtained on various Au-n-Ge Schottky contacts. ( $\diamondsuit$ ) are experimental points. Solid lines correspond to theoretical calculations using this model. The dashed lines correspond to the Wu and Wittry model. (a) Polycrystalline Sb-doped sample ( $N_d=10^{16}\,\mathrm{cm}^{-3}$ ,  $\rho=0.4\,\Omega\,\mathrm{cm}$ ),  $z_m=22\,\mathrm{nm}$ ,  $S_p=5.2\times10^5\,\mathrm{cm}\,\mathrm{s}^{-1}$ ,  $z_d=0.25\,\mu\mathrm{m}$ ,  $L_p=13\,\mu\mathrm{m}$ . (b) Polycrystalline Sb-doped sample ( $\rho=30\,\Omega\,\mathrm{cm}$ ),  $z_d=2.1\,\mu\mathrm{m}$ ,  $z_m=11\,\mathrm{nm}$ ,  $S_p=1.2\times10^6\,\mathrm{cm}\,\mathrm{s}^{-1}$ ,  $N_d=8\times10^{13}\,\mathrm{cm}^{-3}$ ,  $L_p=100\,\mu\mathrm{m}$ . (Any value of  $L_p>100\,\mu\mathrm{m}$  gives a similar good fit.) (c) Bicrystalline P-doped sample containing pure symmetric grain boundary tilted 3° around [011] ( $\rho=40\,\Omega\,\mathrm{cm}$ ),  $z_m=18\,\mathrm{nm}$ ,  $S_p=5.2\times10^5\,\mathrm{cm}\,\mathrm{s}^{-1}$ ,  $N_d=8\times10^{13}\,\mathrm{cm}^{-3}$ ,  $z_d=2.1\,\mu\mathrm{m}$ ,  $L_p=100\,\mu\mathrm{m}$ . (Any value of  $L_p>100\,\mu\mathrm{m}$  gives a similar good fit.)

samples (figs. 4 (b) and (c)). For the latter, similar good fits can be obtained for any value of  $L_{\rm p}$  higher than 100 µm and consequently reliable values of  $L_{\rm p}$  cannot be deduced from the  $\eta$ - $E_0$  measurements. However, it is known that this can be done from the EBIC profiles,  $I_{\rm EBIC}(x)$ , x being the distance from the diode edge. Several authors have proposed various methods to deduce the minority diffusion length from such profiles (Ioannou and Davidson 1979, Davidson and Dimitriadis 1980, Donolato 1984, Kuiken and Van Opdorp 1985). Some of these methods use asymptotic expressions of the EBIC intensity profiles derived from the point-source-generation approximation. The influence of the surface recombination velocity  $V_{\rm s}$  has also been considered by Kuiken and Opdorp (1985). Two extreme cases can be distinguished:

(1)  $V_s = 0$ : According to the Kuiken and Opdorp calculations, the EBIC intensity can be expressed as:

$$I_{\text{EBIC}}(X_{\text{s}}) \propto \operatorname{erfc}(X_{\text{s}}^{1/2}),$$
 (37)

where  $X_s = x/L_p$  is the normalized distance of the point source localized at the surface.

(2)  $V_s = \infty$ : A simple asymptotic expression has been derived by Ioannou and Davidson (1979)

$$I_{\text{EBIC}}(x) \propto x^{-3/2} \exp(-x/L_{\text{p}}).$$
 (38)

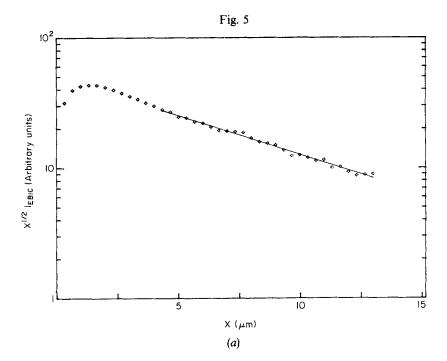
It should be useful to note that for large distances  $X_s$ , eqn. (37) can be written in the form

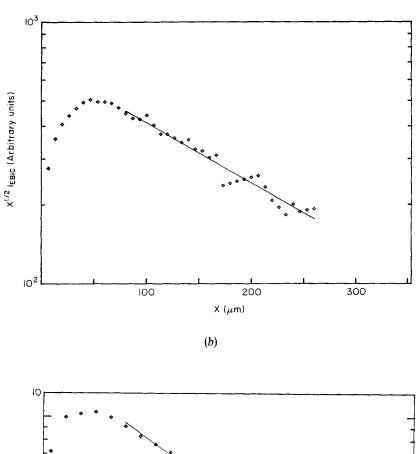
$$I_{\text{EBIC}}(x) \propto \left(\frac{L_{\text{p}}}{\pi}\right)^{1/2} x^{-1/2} \exp\left(-\frac{x}{L_{\text{p}}}\right). \tag{39}$$

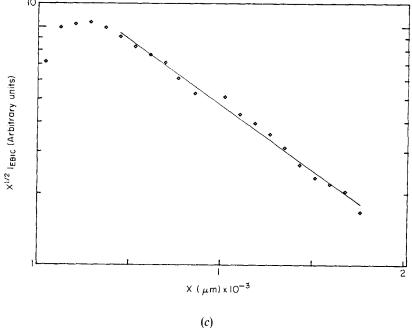
Considering our results, the EBIC profile tails are well described by such an asymptotic expression as suggested by the linearity of the  $\log(x^{1/2}I_{EBIC})$  against x plots shown in fig. 5.

The diffusion length obtained on the highly doped polycrystal varies from 16 to 18 µm (fig. 5 (a)). Several  $\eta$ - $E_0$  curves have been established at various points of the diode made on this sample. The  $L_p$  value measured by the fitting procedure varies from 13 to 20 µm, in good agreement with that obtained from the EBIC profiles. High values have been measured on the slightly doped samples:  $L_p \approx 400 \, \mu \text{m}$  from the Sb-doped polycrystal and  $L_p = 1.82 \times 10^3 \, \mu \text{m}$  for the P-doped bicrystal. The bicrystal was obtained by the Czochralski method using a bicrystalline seed, while the polycrystalline samples were obtained by solidification of a Ge melt in a crucible.

Finally it appears from our results that the  $\eta$  against beam—energy data lead to high recombination velocities at the Au—Ge interface while the EBIC profiles measured on the same samples are well described by asymptotic expressions derived for no recombination of the carriers at the Ge free surface ( $V_s = 0$ ). Moreover, comparable high values of the recombination velocity at the Au—InP interface have been obtained by Peransin, da Silva and Bresse (1986). This leads us to suggest that the Au—Ge interface states that are responsible for the carrier recombination are 'metal-induced states' rather than 'intrinsic Ge surface states'.







Plots of  $\log{(x^{1/2}I_{\rm EBIC})}$  against x obtained on various Ge samples: (a) Sb-doped Ge ( $\rho=0.4~\Omega$  cm),  $L_{\rm p}=16.6~\mu{\rm m}$ ; (b) Sb-doped Ge ( $\rho=30~\Omega$  cm),  $L_{\rm p}=436~\mu{\rm m}$ ; and (c) P-doped Ge ( $\rho=40~\Omega$  cm),  $L_{\rm p}=1.82\times10^3~\mu{\rm m}$ . The straight lines were obtained by linear regression.

#### § 5. Conclusions

A model for EBIC collection has been proposed assuming drift and diffusion of the excess carriers without recombination within the depletion zone of the Schottky contact. The recombination of carriers at the metal-semiconductor interface has been considered and characterized by a recombination velocity  $S_p$ . The model allows determination of the minority carrier diffusion length and the recombination velocity  $S_p$  which are two important parameters characterizing the device. It has been found that the assumption of a unit collection probability for the minority carriers generated within the depletion zone and the related boundary condition  $\Delta N_p(z=z_d)=0$ , lead to an overestimation of the collected current particularly for materials with short diffusion lengths. This result must be underlined since the diffusion length is generally obtained from the fit of the experimental curves of  $\eta$  against beam energy.

The majority carrier injection through the Schottky barrier has been found to be non-negligible for a low doping level ( $N_d \simeq 10^{14} \, \mathrm{cm}^{-3}$ ) and low beam energy. The model has been successfully used to analyse the experimental data obtained on Au-n-Ge Schottky contacts.

Finally, it should be pointed out that the EBIC and LBIC contrast observed at defects (grain boundaries and dislocations) are usually modelled using the 100% collection efficiency assumption for the minority carriers within the depletion zone. It does not seem easy to predict how this assumption and the related boundary condition affect the results of the contrast computations. A tentative treatment for EBIC contrast at grain boundaries is in progress.

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#### APPENDIX A

Excess carrier flux at  $z=z_d$ 

The minority carrier flux  $J_b$  at the limit of the DZ is given by eqn. (17).  $J_b^0$  is the contribution of the integral term in eqn. (16) to the flux and can be expressed in the form given by Wu and Wittry (1978):

$$eJ_{b}^{0} = -eA\left(\frac{\pi^{1/2}\Delta u}{2}\right)\exp\left[aw + \left(\frac{w\Delta u}{2}\right)^{2}\right]\operatorname{erfc}\left(\frac{a}{\Delta u} + \frac{w\Delta u}{2}\right) + \frac{eB}{(b/u_{0}) + w}\exp\left(-b\frac{u_{s}}{u_{0}}\right),$$

where

$$a = u_{\rm m} + u_{\rm d} - u_{\rm 0}, \qquad u_{\rm d} = \rho z_{\rm d}/R, \qquad w = R/(\rho L_{\rm p}), \qquad u_{\rm s} = u_{\rm m} + u_{\rm d}$$
  
 $\operatorname{erfc}(x) = 1 - \operatorname{erf}(x), \qquad \operatorname{erf}(x) = \frac{2}{\pi^{1/2}} \int_{0}^{x} \exp(-t^2) dt.$ 

#### APPENDIX B

Expressions of the integrated constants For holes, using eqns. (18), (20), (21), (24) and (26), one obtains

$$\xi_{\rm p} = \frac{G_{\rm p} - H_{\rm p} + L_{\rm p} J_{\rm b}^0 / D_{\rm p}}{\operatorname{erf}(-\alpha^{1/2} z_{\rm d}) - 2L_{\rm p}(\alpha/\pi)^{1/2} - [2D_{\rm p}/(S_{\rm p} + V_{\rm p})] \exp(-\alpha z_{\rm d}^2)(\alpha/\pi)^{1/2}},$$

where

$$\begin{split} G_{\rm p} &= \int_0^{z_{\rm d}} \left(\frac{\pi}{4\alpha}\right)^{1/2} \operatorname{erf}\left[\alpha^{1/2}(z'-z_{\rm d})\right] \frac{g(z')}{D_{\rm p}} \, \mathrm{d}z', \\ H_{\rm p} &= \frac{L_{\rm p}}{D_{\rm p}} \int_0^{z_{\rm d}} g(z') \, \mathrm{d}z', \\ \theta_{\rm p} &= -\xi_{\rm p} \frac{D_{\rm p}}{V_{\rm p} + S_{\rm p}} \exp\left(-\alpha z_{\rm d}^2\right) \left[\frac{V_{\rm p} + S_{\rm p}}{D_{\rm p}} \operatorname{erf}\left(-\alpha^{1/2} z_{\rm d}\right) \exp\left(\alpha z_{\rm d}^2\right) - 2\left(\frac{\alpha}{\pi}\right)^{1/2}\right] \\ &= H_{\rm p} - G_{\rm p} - \frac{L_{\rm p}}{D_{\rm p}} J_{\rm b}^0 - 2L_{\rm p} \left(\frac{\alpha}{\pi}\right)^{1/2} \xi_{\rm p}, \\ B_{\rm p} &= \theta_{\rm p} + G_{\rm p} = H_{\rm p} - \frac{L_{\rm p}}{D_{\rm p}} J_{\rm b}^0 - 2L_{\rm p} \left(\frac{\alpha}{\pi}\right)^{1/2} \xi_{\rm p}. \end{split}$$

For electrons, using eqns. (19), (23), (25) and (27), one obtains

$$\theta_{\rm n} = B_{\rm p} + G_{\rm n},$$

where

$$G_{n} = \int_{0}^{z_{d}} \alpha^{-1/2} \frac{g(z')}{D_{n}} F[\alpha^{1/2}(z'-z_{d})] dz',$$

$$F(x) = \int_{0}^{x} \exp(t^{2}) dt$$

$$\xi_{n} = \left[ \frac{S_{p} \Delta N_{po}}{D_{n}} + \theta_{n} \frac{V_{n}}{D_{n}} \exp(-\alpha z_{d}^{2}) \right] / \left[ \alpha^{1/2} - \frac{V_{n}}{D_{n}} \exp(\alpha z_{d}^{2}) F(-\alpha^{1/2} z_{d}) \right],$$

where  $\Delta N_{p_0}$  is given by

$$\Delta N_{p_0} = [\theta_p + \xi_p \operatorname{erf}(-\alpha^{1/2} z_d)] \exp(\alpha z_d^2).$$

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